



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/922,221	08/02/2001	Glen A. Evans	P-EA 4854

 23601  
 CAMPBELL & FLORES LLP  
 4370 LA JOLLA VILLAGE DRIVE  
 7TH FLOOR  
 SAN DIEGO, CA 92122

CONFIRMATION NO. 8915

## FORMALITIES LETTER



\*OC00000006795940\*

Date Mailed: 09/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$214.
  - \$54 for 3 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1054.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

COPY OF PAPERS  
 ORIGINALLY FILED

01/11/2002 KZEMDIE 00000016 0992221  
 01 FC:201  
 02 FC:205  
 03 FC:202  
 04 FC:203  
 370.00 OF  
 65.00 OF  
 84.00 OF  
 27.00 OF



PATENT

Our Docket: P-EA 4854

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:  
Glen A. Evans

Serial No.: 09/922,221

Filed: August 2, 2001

For: METHOD FOR ASSEMBLY OF A  
POLYNUCLEOTIDE ENCODING A  
TARGET POLYPEPTIDE

Examiner: Not yet assigned

Group Art Unit: 1643

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope  
addressed to: Attention Box Missing Parts Commissioner for  
Patents, Washington, D.C. 20231, on October 18, 2001.By Astrid R. Spain  
Astrid R. Spain, Reg. No. 47,956COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
Attention: BOX MISSING PARTS

October 18, 2001

Date of Signature

**MISSING PARTS TRANSMITTAL**

Dear Sir:

In response to the Notice to File Missing Parts mailed  
September 26, 2001, enclosed are:

- ☒ 1. A copy of the Notice to File Missing Parts;
- ☒ 2. An executed Declaration for Patent Application;
- ☐ 3. A Recordation Form Cover Sheet and an executed Assignment;
- ☒ 4. An executed Small Entity Statement;
- ☒ 5. An executed Power of Attorney for Patent Application by Assignee;
- ☒ 6. An executed Statement Under CFR 3.73(b) with copy of executed Assignment

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	23-20	=	3	x	\$9	\$18	= \$27	\$
INDEPEN- DENT CLAIMS	5-3	=	2	x	\$42	\$84	= \$84	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED: <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO					\$140	\$280	= \$0	\$
					BASIC FEE		\$370	\$740
					TOTAL FEE		\$481	

- ☒ 7. A check in the amount of \$546.00 to cover the filing fee of \$481.00, and the surcharge fee of \$65.00 for the application is enclosed.

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Inventor: Glen A. Evans  
Serial No.: 09/922,221  
Filed: August 2, 2001  
Page 2

- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
- X Any additional filing fees required under 37 C.F.R. 1.16.
- X Any patent application processing fees under 37 C.F.R. 1.17.
- X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date: October 18, 2001

By: 

Astrid R. Spain  
Reg. No. 47,956

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**USPTO CUSTOMER NO. 23601**



STATEMENT UNDER 37 C.F.R. § 3.73(b)  
WITH COPY OF EXECUTED ASSIGNMENT  
Attorney Docket No.: P-EA 4854  
Serial No.: 09/922,221

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By Astrid R. Spain  
Astrid R. Spain, Reg. No. 47,956

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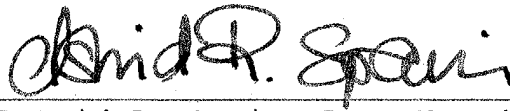
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APPLICATION

Attorney Docket No.: P-EA 4854

Serial No.: 09/922,221

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Astrid R. Spain, Reg. No. 47,956

October 18, 2001

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